#### Capacity Analysis of Cellular

## **CDMA** Systems

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# Capacity Analysis of Cellular CDMA Systems

- Outline:
  - Introduction
  - Reverse & forward link capacity analysis
  - Erlang Capacity
  - Capacity-Coverage Tradeoff
  - Effect of Soft Handoff
  - Capacity-Coverage Tradeoff with Soft Handoff
  - Capacity of UMTS systems

# Introduction

- Capacity of a CDMA system is interference limited
- Assumptions
  - Users are power controlled by the BS
  - All BS's require the same power
  - Power control is exercised by the BS corresponding to maximum pilot signal
  - SIR based admission policy
  - Users are are uniformly distributed in each cell

- Single Cell (Single User Detection):
  - SIR seen at the BS:

$$SIR = \frac{S}{(N-1)S+\eta}$$

where:

- S: power of the received signal per user
- N: number of users in the cell
- η: Background noise
- Equivalent to:

$$\frac{E_b}{N_0} = \frac{S/R}{(N-1)S/W + \eta/W}$$

• Single Cell Capacity:

$$N = 1 + \frac{W/R}{E_b/N_0} - \frac{\eta}{S}$$

• For multi-cell systems, BS suffers from intra-cell as well as inter-cell interference

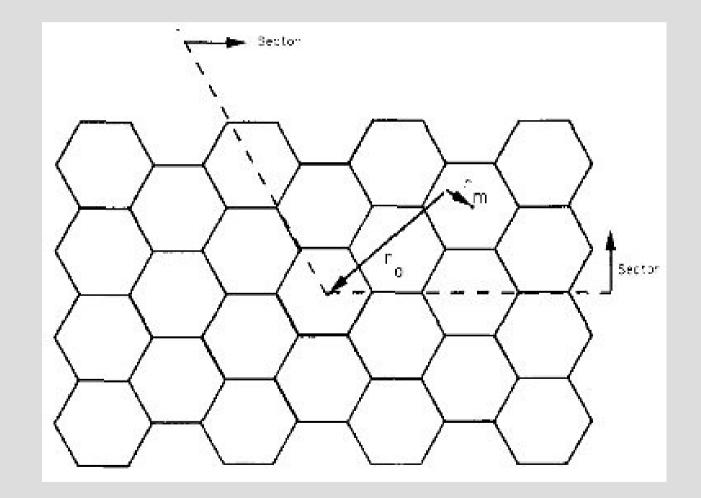
$$\frac{E_b}{N_0} = \frac{W/R}{(N-1) + I/S + \eta/s}$$

where, I: intra-cell interference (stochastic)

- To find the capacity we need the distribution of I
- Depends on the attenuation due to large scale variations (path loss and shadow fading)
  G = 10<sup>(ξ/10)</sup>r<sup>-4</sup>, ξ:N(0,σ<sup>2</sup>)
- For a user at distance r<sub>m</sub> from his BS and r<sub>0</sub> from

the BS under consideration:

$$\frac{I}{S} = \left(\frac{10^{(\xi_0/10)}}{r_0^4}\right) * \left(\frac{r_m^4}{10^{(\xi_m/10)}}\right) = \left(\frac{r_m}{r_0}\right)^4 * 10^{((\xi_0 - \xi_m)/10)} \le 1$$



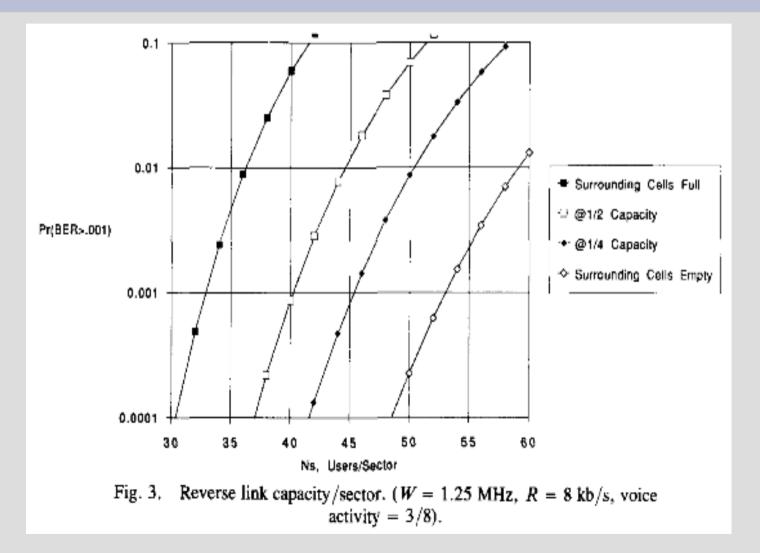
Glihousen et al.: On the capacity of a cellular CDMA system

• Utilizing the voice activity:

$$\frac{E_b}{N_0} = \frac{W/R}{\sum_{i=1}^{N_s-1} v_i + I/S + \eta/S}$$

where  $v_i$  is Bernoulli( $\rho$ )

 Calculate the capacity based on BER for adequate performance: P(BER<10^-3)</li>



Glihousen et al.: On the capacity of a cellular CDMA system

# **Forward Link Capacity**

- In most systems, the reverse link capacity is the limiting factor due to the limited power available for the subscribers
- Power control is also exercised in the forward link:
   Subscriber sends the power received from its BS and the total interference

# **Forward Link Capacity**

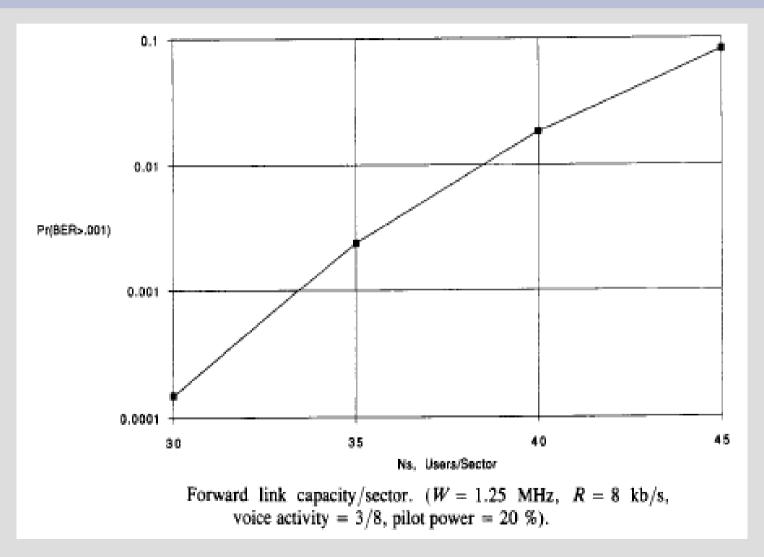
• The ith subscriber SNR can be lower bounded by

$$\left(\frac{E_{b}}{N_{0}}\right) \geq \beta \phi_{i} \frac{S_{(T_{1})}/R}{\left[\left(\sum_{j=1}^{k} S_{(T_{j})}\right)_{i} + \eta\right]/W}$$

where:

- β is the fraction of the total site power devoted to users (excluding pilot)
- Φ<sub>j</sub> is the fraction of power devoted to the i<sup>th</sup> subscriber
- $S_{T_1}$  is the total power available from BS under consideration

# **Forward Link Capacity**



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# **Erlang Capacity**

- Def: The average traffic load in terms of average number of users requesting service resulting in a certain blocking probability
- Blocking Probability: the probability that a new user will find all channels busy and hence be denied service
- Condition:  $P(I_0/N_0 > 10) < 0.01$

- Simple Case:
- a) constant number of users NU in every sector,
- b) each user transmits continuously,
- c) users require the same  $E_b/I_0$
- Condition for no blocking:  $N_{u}E_{b}R(1+f)+N_{0}W \leq I_{0}W$   $N_{u} \leq \frac{(W/R)}{(E_{b}/I_{0})} \cdot \frac{(1-\eta)}{(1+f)}$

f: ratio of intra-cell interference to inter-cell interference  $\eta = N_0/I_0$ 

- Practical case:
- a) Number of active calls is a Poisson random variable with mean  $\lambda/\mu$
- b) each user is gated on with probability ρ and off
  with probability 1-ρ (voice activity)
  c) each user's received energy to interference ratio
- c) each user's received energy-to-interference ratio is
  - varied according to propagation conditions

Condition for no blocking:

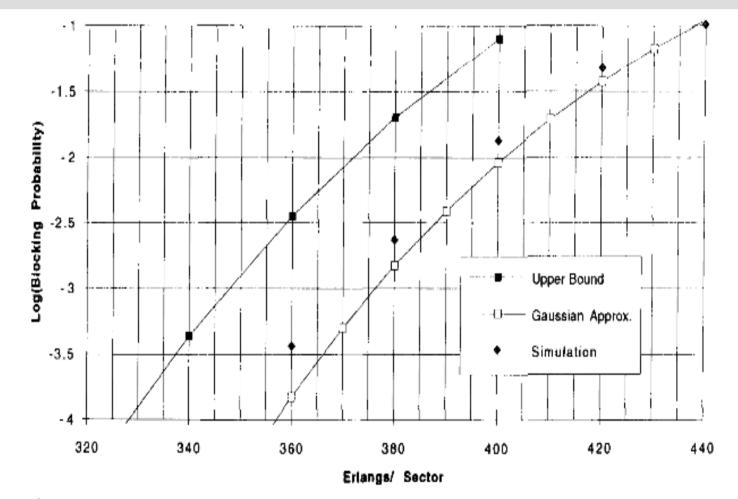
 $\sum_{i=1}^{k} v_i * E_{bi} * R + \sum_{j=1}^{othercells} \sum_{i=1}^{k} v_{i(j)} * E_{bi(j)} * R + N_0 * W \le I_0 * W$ 

and so:

$$P\{Z = \sum_{i=1}^{k} v_{i} * \epsilon_{i} + \sum_{j=1}^{other cells} \sum_{i=1}^{k} v_{i}^{(j)} * \epsilon_{i}^{(j)} > \frac{W/R}{1 - \eta}\} = P_{blocking}$$

where  $\epsilon_i = E_{bi} / I_0$  (stochastic)

- The statistics of  $\epsilon_i$  depends on the power control mechanism
- Field trials with all cells fully loaded show that  $\epsilon_i$  is well modeled as log-normal
- Chernoff pound for the outage probability can't be obtained because the moment generating function
   of *ε<sub>i</sub>* doesn't converge



Blocking probabilities for single cell interference (CDMA parameters: W/R = 1280; voice act. = 0.4;  $I_0/N_0 = 10$  dB; median  $E_b/I_0 = 7$  dB; sigma = 2.5 dB).

Viterbi & Viterbi: Erlang Capacity of Power Controlled CDMA System

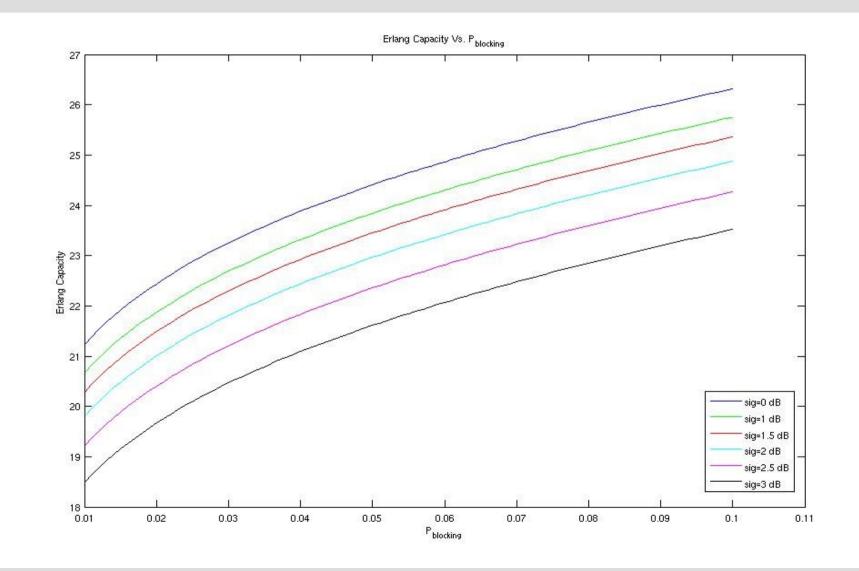
• Using Central Limit theorem for Z we get:

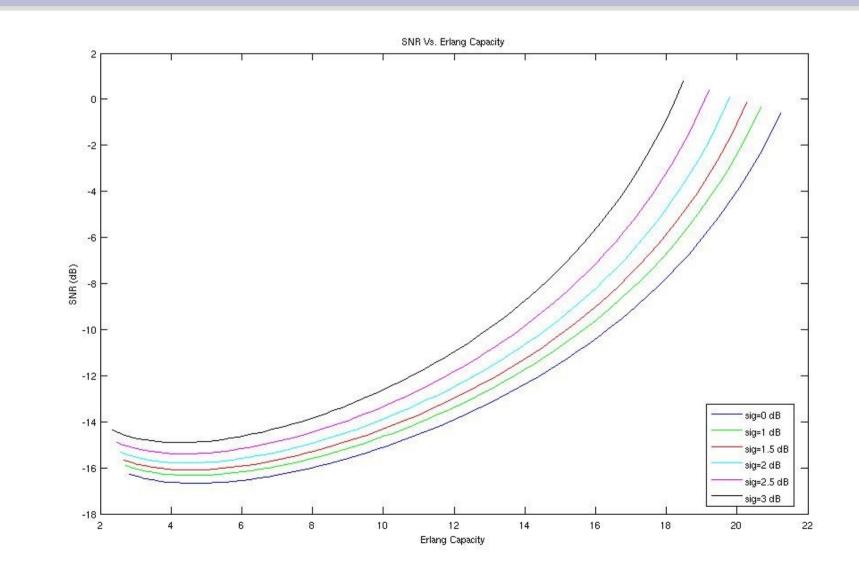
$$P\{Z = \sum_{i=1}^{k} v_i * \epsilon_i + \sum_{j=1}^{other cells} \sum_{i=1}^{k} v_i^{(j)} * \epsilon_i^{(j)} > \frac{W/R}{1 - \eta}\} = P_{blocking}$$

$$P_{blocking} \approx Q[\frac{A - E(Z)}{\sqrt{Var(Z)}}]$$

$$\frac{\lambda}{\mu} = \frac{(1 - \eta)(W/R)F(B,\sigma)}{\rho(1 + f)\exp(\beta m)}$$

$$B = \frac{Q^{-1}(P_{blocking})^2 \exp(\beta m)}{A}$$





- Cell Coverage: maximum distance that a given user of interest can be from the base station and still have a reliable received signal strength at the base station
- An accurate prediction of cell coverage as a function of user capacity is essential in CDMA network design and deployment

- As the number of users in the cell increases, the interference seen by each user increases
- Each user has to increase his transmitted power in order to acheive the desired SNR
- For a given upper limit on the transmit power, the coverage of a cell is inversely proportional to the number of users in it

- Analysis:
  - Case I: Deterministic number of users in the cell
  - Case II: Random number of users in the cell

 To account for coverage, we need to include the probability that the power required from the subscriber to achieve a certain SNR is greater than the maximum power possible (power limited)

P(outage) = P(blocking) +

P(req power>Smax|no blocking)

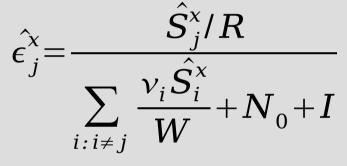
 Outage occurs when a user's SNR is less than the minimum required by the BS for a certain amount of time resulting in service degradation and call drop

$$P_{block} = P\{\frac{\hat{S}_{j}/R}{\sum_{i:i\neq j} \frac{\nu_{i}\hat{S}_{i}}{W} + N_{0} + I} < \hat{\epsilon}_{j}^{\chi}\} = P(A_{out})$$

where

 $\hat{\epsilon}_{j}^{x}$  is the SNR required by the BS for the jth user and  $\hat{\epsilon}_{j}^{x} = \hat{\epsilon}_{j}^{target} \delta_{j}^{\hat{\epsilon}}$ 

• Let  $S_j^x$  be the required received power to obtain  $\hat{\epsilon}_j^x$ . So, we have



• The above equation has feasible solutions when  $\sum_{i=1}^{k} \frac{R \hat{\epsilon_{i}^{\chi}} v_{i}}{W + R \hat{\epsilon_{i}^{\chi}} v_{i}} < 1 \quad \text{and} \quad P(A_{out}) = P\{\sum_{i=1}^{k} \frac{R \hat{\epsilon_{i}^{\chi}} v_{i}}{W + R \hat{\epsilon_{i}^{\chi}} v_{i}} \ge 1\}$ 

 With no limit on the maximum transmitted power, the maximum number of users admitted in the cell

is called Pole capacity (kpole)

Let B<sub>out</sub> be the event that the power control
 equations have feasible solutions but greater than
 the maximum possible transmitted power

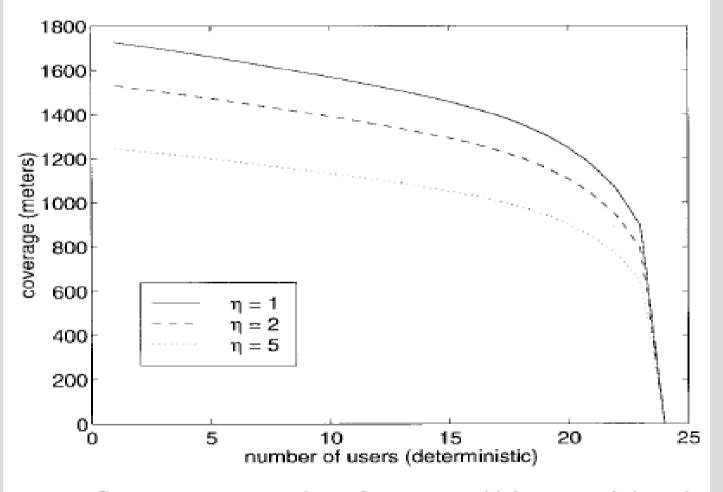
• 
$$P(out) = P(A_{out}) + (1 - P(A_{out})) P(B_{out}|A_{out}')$$

$$\begin{split} P(B_{out}) &= P(S_{trans} > S_{max}) \\ S_{trans} &= S_1 + PL(d) + Z_1 \\ P_{out} &= P(A_{out}) + [1 - P(A_{out})]P(S_1^x + PL(d) + Z_1 > S_{max}lA_{out}^c) \end{split}$$

The maximum outage probability occurs at the edge of the cell, so:

 $p_{m} = P(A_{out}) + [1 - P(A_{out})]P(S_{1}^{x} + PL(R_{cell}) + Z_{1} > S_{max} lA_{out}^{c})$ 

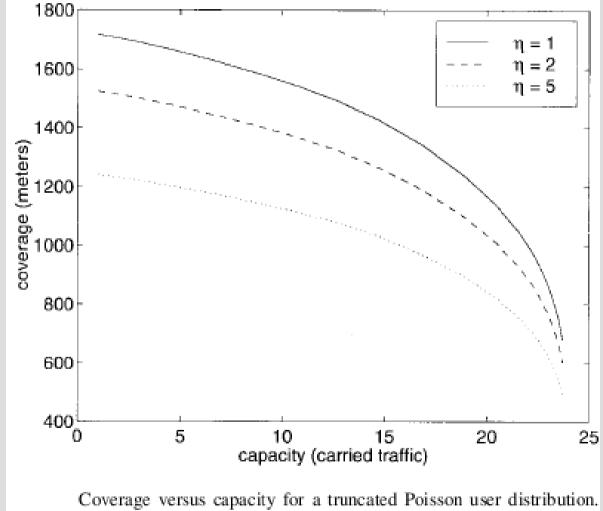
• After some approximations and computations:  $logR_{cell} = \frac{1}{K_2} [S_{max} - K_1 - m_s(k) - \sqrt{\sigma_s^2(k) + \sigma_z^2} Q^{-1}(\frac{p_m - P_A(k)}{1 - P_A(k)})]$ where  $PL(d) = K_1 + K_2 \log(d)$ 



Coverage versus number of users: sensitivity to variations in other-cell interference. The parameter  $\eta$  denotes the ratio  $I/N_0$ .

Veeravalli & Sendonaris: Coverage-Capcity tradeoff in cellular CDMA systems

- To design cell coverages and capacities to match projected traffic densities in the network, it will be reasonable to model the number of users requesting service as a random variable depending on the admission policy and offered traffic
- For number of users modeled as Poisson, we get the following tradeoff curve



The parameter  $\eta$  denotes the ratio  $I/N_0$ .

Veeravalli & Sendonaris: Coverage-Capcity tradeoff in cellular CDMA systems

- Soft Handoff: a technique whereby mobile units in transition between one cell and its neighbor transmit to and receive the same signal from both base stations simultaneously (two-cell handoff)
- Soft handoff increases cell coverage and reverse link capacity compared to hard handoff

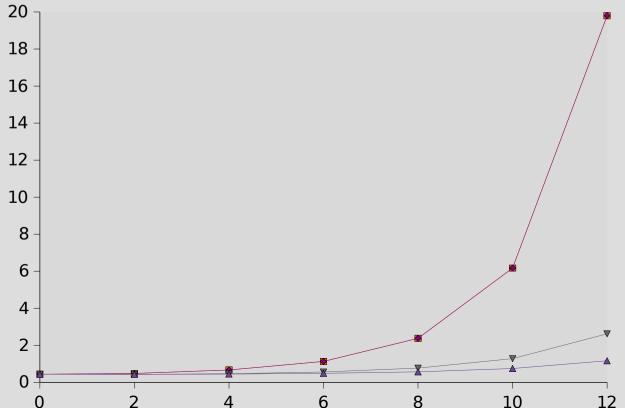
- Coverage:
  - For hard handoff:  $P(B_{out} lA_{out}^{c}) = P(10^{\zeta_{0}/10} r_{0}^{-4} > 1/\gamma)$
  - where  $\gamma$  is the power added by the user to over path loss
  - For soft handoff:

$$P(B_{out} | A_{out}^{c}) = P(min(10^{\zeta_{0}/10} r_{0}^{-4}, 10^{\zeta_{1}/10} r_{1}^{-4}) > 1/\gamma)$$

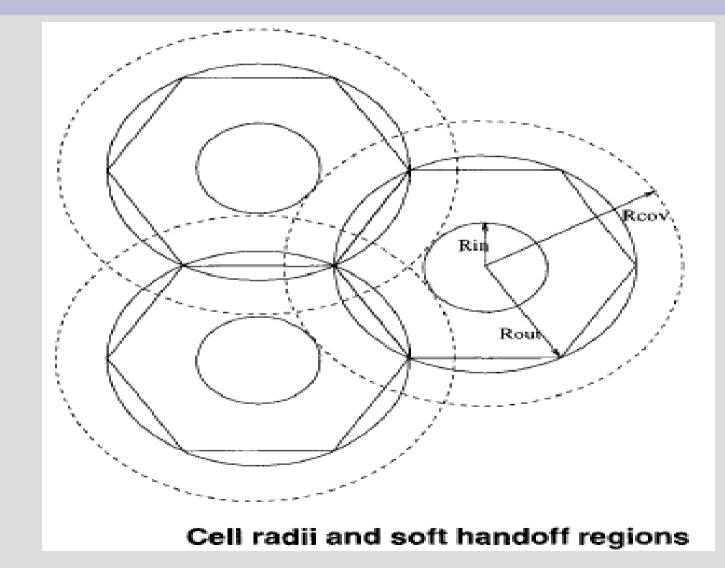
Relative Distance Beyond Cell Boundary r <sub>0</sub>	Hard Handoff Required Margin $\gamma_{Hard}$ dB	Relative Margin $\gamma_{Hard} - \gamma_{soft}$ dB	Relative Coverage Area
1	10.3	4.1	1.6
1.05	11.1	4.9	1.8
1.1	12.0	5.8	2.0
1.15	12.7	6.5	2.1
1.2	13.5	7.3	2.3
1.25	14.2	8.0	2.5

Viterbi et al.: Soft Handoff extends CDMA cell coverage and increases reverse link capacity

- Capacity:
  - Analyze the capacity in terms of the ratio f
  - Path loss standard deviation vs f:



#### Capacity-Coverage Tradeoff with soft handoff

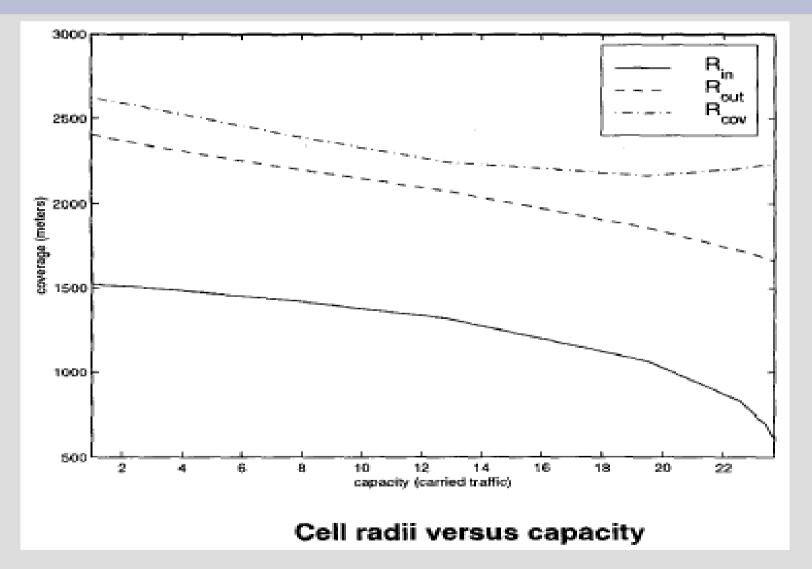


Veeravalli & Sendonaris: Coverage-Capcity tradeoff in cellular CDMA systems with soft handoff

#### Capacity-Coverage Tradeoff with soft handoff

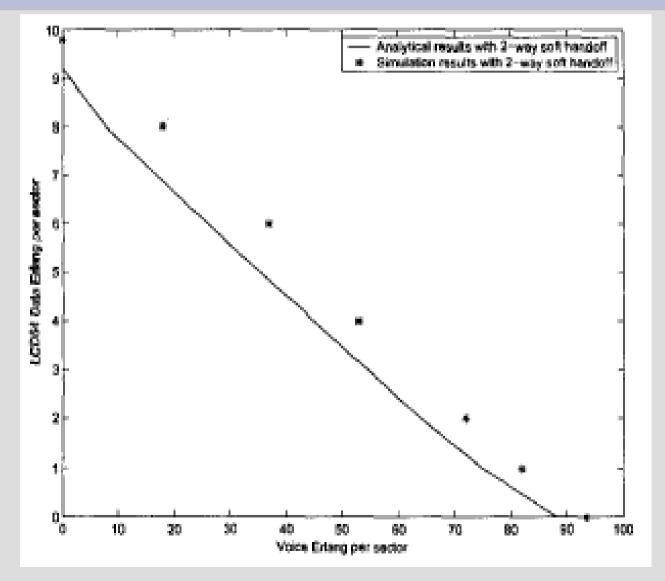
- Similar analysis to soft handoff with:
  - A<sub>out</sub>: the event that all BSs connected don't have a feasible solution
  - B<sub>out</sub>: the event that all BSs require power greater than the maximum transmitted

#### Capacity-Coverage Tradeoff with soft handoff

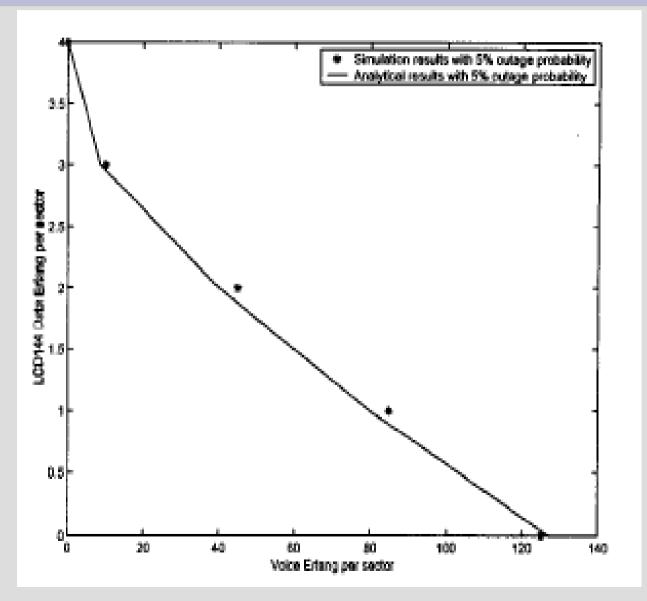


Veeravalli & Sendonaris: Coverage-Capcity tradeoff in cellular CDMA systems with soft handoff

# Forward link Capacity of UMTS



# Reverse link Capacity of UMTS



# Conclusions

- Capacity of CDMA systems can be improved by decreasing the interference
- Reverse link is the capacity bottleneck for 2G whereas for 3G it is the forward
- Coverage and capacity are inter-related in cellular CDMA systems
- Soft handoff increases the capacity and coverage compared to hard handoff

## **Questions?**